



Docket No.: E3879.0028
(PATENT)

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Gregory D. Mills

Application No.: 09/898,305

Group Art Unit: 2164

Filed: June 29, 2001

Examiner: To be Assigned

For: **Credit Handling in an
Anonymous Trading System**

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date
Granted mailed August 21, 2001, Applicant respectfully submits a Combined Declaration
and Power of Attorney and Formal Drawings.

Our check in the amount of \$130.00 covering the fee set forth in 37 CFR
1.16(e) is enclosed. The Commissioner is hereby authorized to charge any deficiency in
the fees filed, asserted to be filed or which should have been filed herewith (or with any
paper hereafter filed in this application by this firm) to our Deposit Account No. 04-1073,

04/02/2002 SSALEEKU 00000015 041073 09898305

01 FC:128 1950.00 CH

under Order No. E3879.0028. A duplicate copy of this paper is enclosed.

Dated: March 19, 2002

Respectfully submitted,

By

Steven S. Rubin

Registration No.: 43,063

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/898,305	MAR 20 2002 PATENT & TRADEMARK 08/29/2001	Gregory D. Mills	P/3879-28

CONFIRMATION NO. 2317

000002352
OSTROLENK FABER GERB & SOFFEN
1180 AVENUE OF THE AMERICAS
NEW YORK, NY 100368403

FORMALITIES LETTER



OC000000006453978

Date Mailed: 08/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawings have a line quality that is too light to be reproduced (weight of all lines and letters must be heavy enough to permit adequate reproduction) or text that is illegible (reference characters, sheet numbers, and view numbers must be plain and legible) see 37 CFR 1.84(l) and (p)(1));

03/22/2002 BABRAHA1 00000043 09898305

01 FC:105 130.00 BP

*A copy of this notice **MUST** be returned with the reply.*

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PART 2 - COPY TO BE RETURNED WITH RESPONSE